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APPLICATION NUMBER

FILING/RECEIPT DA LE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/964,275

09/26/2001

Ken-Shwo Dai

U 013654-2

Ladas & Parry 26 West 61st Street New York, NY 10023



CONFIRMATION NO. 9939
FORMALITIES LETTER
\*OC000000007275281\*

Date Mailed: 01/07/2002

### NOTICE TO FILE CORRECTED APPLICATION PAPERS

## Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of
  the computer readable form does not comply with the requirements of 37 C.F.R 1.822 and/or 1.823, as
  indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a
  substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content
  of the sequence listing information recorded in computer readable form is identical to the written (on paper
  or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR
  1.821(e), 1.821(f), 1 821(g), 1 825(b), or 1.825(d)

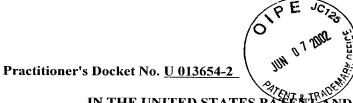
For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
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A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center
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PART 2 - COPY TO BE RETURNED WITH RESPONSE



**PATENT** 

## IN THE UNITED STATES PATERY AND TRADEMARK OFFICE

In re application of: Ken-Shwo DAI, et al

Serial No.: 09/964,275

Group No.: 1646

Filed: September 26, 2001

Examiner.:

For: HUMAN NOC2-RELATED GENE VARIANTS ASSOCIATED WITH LUNG

**CANCER** 

Assistant Commissioner for Patents Washington, D. C. 20231

# TRANSMITTAL OF FORMAL DRAWING(S) PRIOR TO NOTICE OF ALLOWANCE

Attached please find the formal drawings for this application.

### CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10\*

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SIGNATURA OF PRACTITIONER

CLIFFORD J. MASS

(type of print name of practitioner)

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